STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS NEWPORT, SC. SUPERIOR COURT JUSTIN KATZ, ET AL v. TOWN OF TIVERTON, by and through PAUL AMARAL, BÓBBY HARRIS, DEETTA MORAN, SUSAN : SCANLON, and JEAN : NOS.: NC-2018-0153 VEEGH, in their : official capacities : NC-2018-0157 NC-2018-0158 comprising the TIVERTON BOARD OF CANVASSERS and NANCY MELLO, in her official capacities as the TOWN CLERK and the CLERK OF THE BOARD OF CANVASSERS HEARD BEFORE THE HONORABLE STEPHEN P. NUGENT WEDNESDAY, MAY 2, 2018 HEARING **APPEARANCES:** JUSTIN KATZ, PRO SE PLAINTIFF NANCY DRIGGS, PRO SE PLAINTIFF RICHARD ROM, PRO SE PLAINTIFF ROBERT COULTER, PRO SE PLAINTIFF ANTHONY DESISTO, ESQUIRE.....FOR THE DEFENDANTS

> JESSICA L. ALMEIDA, CRI, RPR, CSR CERTIFIED COURT REPORTER

CERTIFICATION

I, Jessica L. Almeida, hereby certify that the succeeding pages, 1 through 47, inclusive, are a true and accurate transcription of my stenographic notes.

> Jessica L. Almeida, CRI, RPR, CSR Certified Court Reporter

1	WEDNESDAY, MAY 2, 2018
2	MORNING SESSION
3	THE CLERK: Justin Katz.
4	MR. KATZ: Ready hearing.
5	THE CLERK: Please stand and raise your right
6	hand. You do solemnly
7	THE COURT: That's not necessary for him to
8	raise his hand. There's no testimony.
9	THE CLERK: Do you solemnly swear that the
10	testimony
11	THE COURT: We don't need an oath.
12	THE CLERK: Yes, Your Honor.
13	THE COURT: Just argument.
14	THE CLERK: The matter before the Court is
15	Case No. NC-2018-0153, Justin Katz versus the
16	Town of Tiverton.
17	Will counsel please identify themselves for
18	the record.
19	MR. KATZ: Justin Katz, pro se.
20	THE COURT: Go ahead.
21	MS. DRIGGS: Nancy Driggs. I'm actually an
22	inactive member of the Rhode Island and Mass. Bar
23	at this moment. I'm also a resident
24	THE COURT REPORTER: I'm sorry. Resident
25	MS. DRIGGS: Resident of the Town of Tiverton

1 and elector petitioner. 2 MR. COULTER: Good morning, Your Honor. 3 Robert Coulter, pro se plaintiff, to be clear, on Civil Action 158, which I've requested to 4 5 consolidate with 157 and 153. In candor to the Court, I am a member of the 6 7 Bar, but I am self-representing today. 8 THE COURT: In the case NC-2018-0157, 9 Nancy Driggs, the other two named plaintiffs are 10 Justin La Croix and Richard Rom. Are they present? 11 MS. DRIGGS: Mr. Rom is present, Your Honor. 12 All right. State your name for the THE COURT: 13 record, please, sir. MR. ROM: Richard Rom, 27 Cornell Road, 14 15 Tiverton, Rhode Island. 16 THE COURT: Thank you. Mr. La Croix? 17 MS. DRIGGS: Mr. La Croix, Your Honor, works 18 the third shift and could not be present today, but 19 we are prepared to address his resolutions. 20 THE COURT: He's got to be here to represent 21 himself. 22 First, some ground rules -- well, Mr. DeSisto. 23 MR. DESISTO: Anthony DeSisto for the 24 defendants, Town of Tiverton, Tiverton Board of 25 Canvassers, and Town Clerk.

THE COURT: Thank you.

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2 First, some ground rules. The court reporter 3 who is seated to my right has to take down everything that is said in this courtroom exactly 4 5 as it is said and when it is said. Therefore, obviously this is a big room with poor acoustics, 6 7 so it's necessary for you to keep your voices up so 8 that she can hear you and take down what is being 9 said.

10 Also, only one person at a time can speak. So 11 let the other person finish what they're saying 12 before responding. Don't interrupt each other or 13 the Court.

As far as the spectators are concerned, there's to be no talking in the spectator section. My sheriff had to admonish some spectators this morning about talking. Even though he admonished them, they continued to talk. There's going to be no drinking of water or coffee or anything of that nature. No chewing of gum.

We also have competing noise coming from the outside. The windows are open because it's a warm day. So we have a lot of traffic that goes by here. So it's necessary to keep your voice up. I'm going to hear for -- the first matter to be

1	addressed is Mr. Coulter's motion to consolidate.
2	It hasn't been marked up for hearing. That
3	normally would be assigned to the motion calendar.
4	Mr. DeSisto, I don't know if you have an
5	objection or how you want that handled. As I said,
6	I'm not only if you're in agreement to it would
7	I consider it.
8	MR. DESISTO: I have no objection, Your Honor.
9	THE COURT: All right. Then the motion to
10	consolidate is granted. The cases which have been
11	called are consolidated for hearing on a motion for
12	temporary restraining order, which is what this is.
13	Now, I'll hear from Mr. Coulter first and then
14	I'll hear from Ms. Driggs and then I'll hear from
15	Mr. Katz.
16	So, Mr. Coulter, I'll hear you on a motion for
17	a temporary restraining order.
18	MR. COULTER: Thank you, Your Honor.
19	THE COURT: You're welcome. Go ahead.
20	MR. COULTER: Your Honor, this case is actually
21	very, very simple. The Tiverton Town Home Rule
22	Charter allows any elector of Tiverton to poll with
23	50 signatures of other electors to put a
24	resolution and it's for a ballot question. To
25	be very clear, it's called a resolution or a ballot

1	question. It's not called a budget resolution.
2	It's not called a budget ballot question.
3	These plaintiffs, it is undisputed, went to
4	Town Hall, polled the forms correctly, went out and
5	canvassed at least 50 in fact, well over 50
6	signatures, went back to Town Hall, had them sworn
7	out properly before the deadline. So all the
8	procedural issues that were before this Court last
9	year are not relevant this year.
10	What had happened this year for the first known
11	time in the history of Tiverton, Rhode Island, is
12	that the Board of Canvassers blocked some but not
13	all resolutions from reaching the ballot on
14	substantive grounds only, on substantive grounds
15	only. There's no dispute that we have perfect
16	procedural compliance. And the result of that is
17	actually really a phenomenal infringement on some
18	fundamental rights that are at stake here.
19	So our view, Your Honor, is the analysis really
20	begins and ends right there. There is no dispute
21	that these resolutions were in procedural
22	compliance. The Board of Canvassers has certified
23	the signatures, and they should go onto hearing
24	tomorrow night and onto the ballot and let the
25	people decide.

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Now, Your Honor, we are prepared to go item by item on each and every one of the resolutions and have a mini argument, if you will, on whether any particular resolution is, so to speak, illegal, but that is just not the proper role of the Board of Canvassers.

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The Board of Canvassers is here to certify the voter rolls and to protect the integrity of the election process. Let these votes go to the ballot. They may not even pass and it's moot. Or they may pass.

12 And just like we will have other -- the 13 exhibits show there has been a lot of filings 14 here on short notice. But we have on the record, 15 Your Honor, some 72 examples of prior resolutions 16 in the last 13 years, including resolutions on this 17 very ballot that these defendants did not block 18 which have restrictions on monies being used that 19 would arguably bump elbows with the authorities of 20 others in government. That's how it works.

There are cases in the past where -- where a town has taken action and has been later ratified by the General Assembly. There's a million different ways that this can go. But the bottom line is there's no dispute that we have procedural compliance here, and the only reason we're here -we're going down a very slippery slope of asking a volunteer Board of Canvassers to start making decisions about whether something might -- might be enforceable down the road. And that's the case, Your Honor.

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I would certainly love to have an opportunity, if we need to, to go down every resolution and explain why they are in fact quite enforceable, but that's the case.

11 THE COURT: All right. Thank you, if you're 12 finished.

Ms. Driggs, would you stand here at the podium,
please. We have the benefit of a microphone. Keep
your voice up and speak right into that microphone.
MS. DRIGGS: Thank you, Your Honor.
THE COURT: You're welcome.

MS. DRIGGS: I am in total agreement with what Mr. Coulter just said.

I will indicate that this is a temporary restraining order, and the less this Court intervenes today -- there's a case, *Burns v. Sundlun*, which is actually cited in a case that Mr. -- Attorney DeSisto gave to the Court which said that they didn't even -- despite a lack of standing, the question in that case presented such a fundamental question of whether plaintiffs could vote on an issue at a public election that they heard the case and they intervened so that that could happen. That is basically the fundamental issue that is at play here.

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As Mr. Coulter said, I have presented to the 7 8 Court with my memorandum Exhibits D-1 through D-29, 9 all of which include every certified resolution by 10 the Town of Tiverton between 2006 and last year's 11 Financial Town Referendum. And the Court can see 12 in those exhibits that there are --72 of them 13 placed restrictions on funds as they were applied 14 to going into the general fund. The voters placed 15 the restriction. Every one of these 72 resolutions 16 were allowed to go to the voters at either the 17 FT -- Financial Town meeting or the Financial Town 18 Referendum. And some passed; some didn't. But the 19 point is, they did contain restrictions and -- in 20 placement of funds, and the voters were allowed to 21 decide.

Interestingly, also as Mr. Coulter indicated, there were eight elector petitions filed this year on the ballot. Five were blocked and -- yeah. And the other three of the elector petitions, all the Budget Committee resolutions and all of the Town Council resolutions went on even though they too contained restrictions on the funds.

It would appear from the advice that the Board of Canvassers got from the Town Solicitor that the five that were blocked were primarily blocked because they were proposals for which the Board of Canvassers, Town Solicitor, I don't know, contained policy content that they didn't agree with. And that is just not an acceptable mandate for the Board of Canvassers to consider.

And again, I also am prepared to go case by -resolution by resolution if the Court wants to hear it, but the bottom line is there is much precedent for this kind of resolution since 2006 being allowed to go before the voters with their vote. Thank you, Your Honor.

18 THE COURT: You're welcome. Is it Mr. La Croix, 19 or is it Mr. Rom?

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MR. ROM: Rom.

THE COURT: Do you want to be heard, sir? You're a party to this case, 2018-0157. I think you're pro se; is that correct? Representing yourself.

MR. ROM: That's correct, Your Honor.

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1	THE COURT: Go ahead if you want to be heard.
2	MR. ROM: I have nothing more to say other than
3	what you've already heard from Mr. Coulter and
4	Ms. Driggs is what my complaint is.
5	THE COURT: All right. Thank you.
6	MR. ROM: Thank you.
7	THE COURT: Mr. Katz.
8	MR. KATZ: Good morning, Your Honor.
9	THE COURT: Good morning.
10	MR. KATZ: We're here today, this week, because
11	last week something remarkable, not in a good way,
12	happened in Tiverton. That was the Town Solicitor
13	advised the Board of Canvassers that in his opinion
14	some of the resolutions submitted might face
15	charter complaints were they put on the ballot and
16	enacted into law by the voters of the Financial
17	Town Referendum. He would not, when asked, specify
18	whether he was so sure of that that a Court would
19	be more likely to rule in favor of an opposition to
20	the resolutions or to these particular complaints.
21	So when somebody asked him if we put these on the
22	ballot and somebody complains, will that be more
23	likely to win than if we don't put them on the
24	ballot and somebody complains, he wouldn't say.
25	In a circumstance like this, as Mr. Coulter

mentioned, a volunteer board of people trying to do
public service on a Board of Canvassers are
extremely unlikely to act in a contrary manner to
the advice of the Solicitor, even if it's
overstated.

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In this case, there was no notice, formal or 6 7 otherwise, that the Board of Canvassers intended to 8 consider the resolutions on process. Any elector 9 of Tiverton would have every expectation that the 10 same process that has always been followed, if you 11 have the 50 signatures, everything is valid about 12 your petition, your resolution, it goes on the 13 ballot.

14 There was no indication that there was going to 15 be judgment as to the legality or enforceability of 16 these particular resolutions. So there was no 17 opportunity for the petitioners or people like me 18 who helped organize the gathering of signatures and 19 such to find -- to construct arguments for the 20 Board of Canvassers, to find legal representation 21 if we so desired.

But instead the Board did something that it has no authority to do, that in fact exceeds its -- its authorities and blocked resolutions as if they had already been deemed unenforceable, which had never happened. They had been declared potentially to create a liability.

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And just for a sense of the injustice of that action, if you review the Solicitor's memorandum in this Court that I believe was submitted yesterday, he misstates plainly what some of the resolutions do.

For example, one the Solicitor Attorney says 8 9 would obligate town officials to take an action. 10 It does no such thing. It says, quote, expression 11 of desire. It's a ballot question which is allowed 12 under a referendum. It just says we, the voters, 13 would like the Town Council to do this. It's not 14 an obligation unless we are talking about the 15 consciences of the Town Council members, for 16 example.

17 On another resolution of Mr. Rom's, he states 18 that one of them would -- one of the resolutions 19 would allow any -- would object to any budget line 20 item that is depleted to one dollar or less during 21 the course of the year. That's simply not what the 22 resolution says. It's very clear it applies to, 23 quote, any line item on the budget which is adopted 24 by the electors at this Financial Town Referendum 25 showing one dollar. In other words, if it's --

that's the starting point. If a line item starts out at less than a dollar, it can't be considered for the purposes of our Charter to be an unanticipated shortfall and therefore funded by the Town Council. So we could argue about whether that -- that would be enforceable. But the point here is that the Solicitor's advice to the Board of Canvassers was -- was defective in these obvious ways, and these are just two of those.

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10 So my -- my basic question is how it would be 11 possible that we could have a circumstance that a 12 Board of Canvassers could -- based on advice from a 13 Solicitor who characterized the resolutions 14 incorrectly could then with no notice to the people 15 who submitted those resolutions just go ahead and 16 no formal hearing and no authority listed anywhere 17 in state, local, or federal law just pass judgment 18 on whether these should be included on the ballot? 19 It's clearly not a legitimate action of the Board 20 of Canvassers.

I'd like to take a moment to address also the question of standing. The defendants' memorandum argues that the several plaintiffs of these three cases are -- have only a generalized grievance. I would suggest that this actually misstates the grievance.

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The plaintiffs here are not saying we have a special right to have our policy -- preferred policies enacted at the FTR. That's a political question that we're -- we're prepared to debate with our fellow residents of Tiverton.

7 We're here because we participated in the process of putting forth resolutions to our 8 9 neighbors and were blocked. We are in that sense 10 very unique. Only six people put forward 11 resolutions and eight people collected signatures, 12 myself being one of them, and some five to eight 13 dozen signed these. So these are people who have 14 participated in a political process and been 15 stopped.

We are therefore unlike the rest of Tiverton's 16 17 residents who -- now, if we get to the Financial 18 Town Referendum and none of these resolutions pass 19 or they do pass, then we will be similarly situated 20 to everybody else who votes or has an opportunity 21 to vote because it's just the policy outcome as 22 part of the ordinary process of the Financial Town 23 Referendum.

Here again, we are -- we're here on the process, which is clear. Electors take certain

steps and they receive a hearing at the Financial 1 2 Town Hearing and they get a vote on the proposals 3 they put forward. It's very, very clear in that Town Charter. So we uniquely among electors have 4 5 not -- have been deprived of that outcome. I want to reinforce also the statements of the 6 7 prior plaintiffs. The only point that really matters here is that the Board of Canvassers 8 9 fundamentally lacks the authority to block 10 resolutions on content grounds. The closest 11 language anybody has been able to find, including 12 defendants' attorney, is that in the Charter, 13 the -- it gives the Board of Canvassers 14 jurisdiction over the Financial Town Referendum. 15 That -- having some familiarity with the 16 drafting of that language, that was intended to 17 make sure that everybody understood that the 18 Board -- this is -- the Financial Town Referendum 19 is a unique -- a unique mechanism in Town 20 government. And so it was to make sure that 21 everybody understood that the Board of Canvassers 22 would be the ones verifying signatures and not, 23 say, the Town Clerk or the Town Council. So 24 that -- that's the jurisdiction. Without some 25 details, you can't read that to grant additional

authority to the Board of Canvassers that they have under no other law.

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For example, if somebody that were -- were voting at the Financial Town Referendum were assaulted, would jurisdiction mean the Board of Canvassers had authority to hear that criminal case? Or if there was a parking ticket given out during the Financial Town Referendum, the Board of Canvassers wouldn't have the authority to hear the complaint or the appeal of that parking ticket. That jurisdiction just means the Board of 12 Canvassers does what the Board of Canvassers do.

13 And that's why in this case a request of 14 temporary restraining order, mandamus are 15 appropriate because we're asking the Court to say, 16 Board of Canvassers, do your job, which is to 17 certify -- make sure all the voters on the list are 18 fine and that's it. So just to go forward with 19 what you are supposed to do.

20 All of these examples I want to stress because 21 I was involved somewhat in that case that came 22 before the Court last year, Jeremy Larkin v. The 23 Town of Tiverton. In contrast to my hypotheticals 24 and all of the resolutions here today, that case 25 was clearly on the basis of process. The elector

in the case, Mr. Larkin, did not accurately follow the process laid out for -- in that case, it was a budget proposal, not a resolution, which has some additional restrictions. He didn't adequately follow that process, so there's no applicability there.

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And, in fact, like in the transcript from that hearing last year with Judge Brian Van Couyghen, he stated, quote, the electors deserve the right to have the mandates of the Charter complied with, otherwise the rule of law would be meaningless and subject to the whim of Town officials.

13 That is what we're seeing here. Five out of 14 eight elector resolutions were swept away on some 15 allegation that somebody may file a complaint 16 against them.

17 Three -- the three others that passed -- and we 18 know these resolutions -- there is not a procedural 19 issue with these five because three other -- the 20 three other resolutions were also part of a -- sort 21 of a package of eight had followed exactly the same 22 process and had almost identical signatures to get 23 onto the ballot, and yet they were allowed on the 24 ballot while these five were singled out and not 25 allowed.

Regarding the defendants' citation of a 1904 case, Williams v. Champlin, that case was clearly about an issue within the purview and the jurisdiction of a Board of Canvassers. It was on qualified voters. So the Court in that case was deciding whether it could issue a mandamus order based on that Board of Canvassers' legitimate judgment.

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9 That's not even in the same ballpark as what 10 we're talking about here. The Board of Canvassers 11 can't act as a panel of judges on anything they 12 want to and not face a mandamus or a TRO action 13 just because somewhere in some law from a century 14 ago it says they're judicial in nature. They're 15 judicial in what way? What do they have the right 16 to judge? That's the key question.

Now, even if the Charter were seen to have granted authority to the Board of Canvassers to block resolutions because they're illegal, even then I would argue it does not additionally grant authority to the Board of Canvassers to determine that something is illegal.

23 So, for example, if this Court were to say I 24 agree with that particular -- that that particular 25 resolution is illegal, you may block that, that would be this Court, a more legitimate authority, suggesting that and actually finding the case of unenforceability.

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In this case, we have no finding. Nobody has -- no legitimate authority has told the Board of Canvassers this is an unenforceable, quote, illegal resolution. The Town Solicitor offered that as advice. There was no hearing and no due process to determine that was in fact the case.

10 Now, even if the Board of Canvassers were given 11 the authority to determine if resolutions were 12 legally unenforceable, the reasoning in all of 13 these cases is clearly wrong. The largest example 14 here is in the latest filing from the defendants. 15 Again and again we see the phrase "budget 16 resolution." In some cases it's even underlined. That phrase appears nowhere in Tiverton's Town 17 18 Charter. It's always at best or at most budget 19 proposals and resolutions.

Now, there may be some ambiguity in that, whether the adjective "budget" applies to the nouns "proposals" and "resolutions." But careful review of the Charter shows that this is clearly not the case, because whenever the Charter breaks them apart, it -- the word "budget" is separated from the word "proposal."

2	So and you have this in some exhibits from
3	my recent memorandum this morning. In Section
4	301(b), it breaks it into Section 1, "Budget
5	Proposals"; Section 2, "Resolutions." Section 2
6	never uses the word "budget." And in fact it
7	actually expands the meaning of resolution to
8	include ballot questions, which you would not read
9	under any reasonable circumstances a budget
10	ballot questions.
11	This happens again in Section 301(d). The
12	Charter breaks out Section 1, "Elector Budget
13	Proposals"; Section 2, "Elector Resolutions." No
14	budget in there.
15	And to just really drive this point home, in
16	Section 301(d)3), which is the single most relevant
17	paragraph in terms of the discussion here,
18	"Qualification of Petitions," it reads in full,
19	"All elector budget proposals and elector
20	resolutions shall be included on the ballot for the
21	Financial Town Referendum and presented at the
22	Financial Town Hearing provided that they are
23	accompanied by 50 electors' signatures."
24	There's simply no way to read into that that
25	the drafters of the Town Charter wanted the word

"budget" to be inserted in the elector resolutions 1 2 when they -- clearly they would have put elector 3 budget resolutions if that had been the intention. And it just wasn't. 4 5 And a final point on this -- and this is another exhibit in my memorandum this morning. Ιf 6 7 you go back and look at the prior Charter, which 8 had a Financial Town -- Town Meeting process and 9 not a Financial Town Referendum process, the most 10 similar provision was Section 303, which was called 11 "Additional Financial Proposals."

12 In that version, it's very specific any 13 proposal for the expenditure of money could gather 14 50 signatures and appear on the docket at that 15 meeting.

The drafters of the Financial Town Referendum process deliberately took that language out and brought it -- the rights of the electors to put referenda and ballot questions on this ballot. So it was a deliberate action. There's simply no -no ambiguity about it.

And a final point on these supposedly non-budgetary resolutions. The attorney for the Town cites a 1957 case, *Capone v. Nunes*. And in relevant part that reads "the electors qualified to

1 vote in a financial town meeting do not constitute 2 the town." 3 Now, this case was -- what the Court was 4 arguing was that this body can't -- can't arrogate 5 to itself additional responsibilities and authority because it's not everybody. At the time the 6 7 Financial Town Meeting was -- was limited to 8 taxpayers. And the Court was saying but the entire 9 universe of electors includes people who aren't 10 taxpayers. 11 Interestingly, the Solicitor's assistant has 12 written a legal paper about that case in which he

13 specifically explains that a constitutional 14 amendment to the Rhode Island Constitution in 1973 15 mooted that argument because you were no longer 16 allowed to exclude people who weren't taxpayers. 17 So it's a mooted case.

But even so, the Financial Town Referendum applies to everybody. Any elector can go and vote, and therefore they do in fact constitute the town.

To move to a different one to explain why that one is clearly legitimate -- this is the one filed by Mr. La Croix. When -- obviously this one -this is to provide a rebate if new revenue from the Twin River Casino exceeds the expectations of the

1 budget. And the defendants cite the Warwick Mall 2 Trust v. The State, which is completely inaccurate. 3 In that case, the plaintiffs were going to the Court to have ruled unconstitutional an act of the 4 5 General Assembly. They were saying no, the General Assembly can't rebate from our tax base. We have 6 to vote on that rebate. And the Court was saying, 7 8 well, no, the General Assembly grants you the 9 authority to tax; therefore, they grant you the 10 authority to rebate. 11 In the memo you'll see from the defendants' 12 attorney yesterday they conveniently put the three 13 dots, the ellipses, across another -- an internal 14 citation of Crafts v. Ray from 1900. And in there 15 it emphasizes in the quote -- in the citation is 16 "the power to tax necessarily implies a power to 17 exempt." 18 So clearly if Tiverton has the power to tax on 19 real estate, it has the power to tax -- or to 20 rebate some of that money because some other

revenue source came in higher than needed.

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And as further evidence of this point, and you have this in Ms. Driggs' exhibits, a resolve at the Financial Town Meeting on the ballot gathered the 50 signatures and was placed before voters had almost a very -- almost the same provision except it was a rebate based on state aid for motor vehicle taxes. So basically it says, if motor vehicle taxes come in higher than expected, the Town will rebate that amount to the people of -the taxpayers of the Town.

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7 As it happens, I went back and watched the 8 Financial Town Meeting. And it's a painful process 9 I wouldn't recommend. But the voters voted that 10 down. At no point did anybody in the room suggest 11 that it wasn't legitimate. It was -- the Town 12 Solicitor at the time said nothing. There was a 13 parliamentarian in the room paid by the Town. Had 14 no objection.

And yet now suddenly, arbitrarily on the whim of the Board of Canvassers officially, that's not legitimate. If -- as an added -- added input of emphasis on that, in 2011 the Town created a "Pay As You Throw" program that requires us to use particular bags you pay \$2 for to put our garbage in for curbside pickup.

At the time the Council voted twice on that. The first was to create the program, and the second was to ask the voters at the Financial Town Meeting if they wanted to restrict those funds. The Solicitor at the time, Andrew Teitz, actually told the Town Council you don't have the authority to restrict that money.

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So clearly the practice has been the Financial Town Meeting, which has been amended by the Financial Town Referendum, that's the body that has the authority over the budget to change item -line items, restrict them, rebate taxes.

9 So now, within the confines of these suits 10 before you, though, I want to emphasize that we're 11 not asking the Court to impose our policy 12 preferences through the Financial Referendum. 13 We're asking to have our questions put forth. That 14 in fact is the status quo. The status quo is you 15 follow the process. You get a hearing and a vote. 16 It would actually be a gargantuan change if 17 suddenly the Board of Canvassers were to be 18 invested with this new power to judge resolutions 19 based on their content.

And if we lose -- if we lose our argument to the public, our political argument, so be it. But we want to have our due process rights preserved.

And in fact in the Solicitor's -- in the defendants' memo, they talk about how we can go out and change the Town Council. We're actually here protecting -- if we do that, we're protecting the people who might oppose us in the future. We don't want that Town Council who hires that Solicitor to be able to advise that Board of Canvassers at the time that resolutions we don't like are not applicable. We want to restrain future Town Councils too to follow the process unless obviously the Charter is changed.

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9 On that note, the defendants cite our ability 10 to engage the political process by getting new town 11 councilors, changing the Charter, and so on. Those 12 are political processes. So is the Financial Town 13 Referendum.

What this amounts to is somebody preferring that we take a different political process and use that instead. That's -- that's not legitimate. This is all a part of the political process.

18 So the proper action in this case is to put 19 these resolutions on the ballot, let the voters 20 vote. And if the voters vote them down with the 21 tax rebate based on -- then there's no -- there's 22 no -- the point -- the illegal questions were moot. 23 They were voted down and we moved on. If they're 24 not voted moot -- I mean they are voted in and 25 become law, anybody can -- who has standing can

1	challenge them. In fact, I would argue that the
2	Town Council could deem some of these resolutions
3	unenforceable and not enforce them, which would
4	lead into somebody else, probably us, to challenge
5	that in court.
6	So that is the way where the process allows
7	for all of the everyone to get their due
8	process. That is the status quo. And it will
9	radically harm the people of Tiverton, the public
10	interest of Tiverton to have this situation where
11	the rules can change any given year.
12	And if furthermore, if we don't get the
13	immediate relief of a restraining order or writ of
14	mandamus, then the threshold for good government
15	citizens to move forward and challenge their
16	government when it acts on a whim like this will be
17	higher.
18	Right now, very few people, I would say, are
19	going to go before a Court, take their time out of
20	their day, often stay up all night trying to draft
21	memos in order to challenge an unfair attack on
22	their civil rights like this is. If it becomes a
23	case that it's not even a two-week process of
24	intense research and writing, if it becomes a case
25	that is a full year-long trial, you're almost

1 guaranteeing that any town solicitor can tell any 2 board of the town, "I think you should do this." 3 They do it, and boom, the law has changed without 4 anybody's ever having voted to do that. That's all 5 I have to present to the Court. Thank you. Mr. DeSisto. 6 THE COURT: 7 MR. DESISTO: Thank you, Your Honor. THE COURT: You're welcome. 8 9 MR. DESISTO: Well, the one thing I agree with 10 Mr. Coulter on is that this is actually a very 11 simple case. 12 The purpose of the Financial Town Referendum is 13 for the Town of Tiverton to enact a budget. The 14 disallowed resolutions are non-budget items. 15 All of these plaintiffs, Your Honor, and they 16 haven't said it, but in one way or the other 17 they're active in the town. They're either on the 18 Charter Review Commission or they're on the Budget 19 Committee, both elected bodies. 20 So I think they're correct. I think Mr. Katz 21 was correct when he said that this is really 22 advancing their political agenda. And that's why 23 in my memorandum I thought that the best process 24 for this would be to let it be handled through the 25 electoral process. They can get on the Council,

enact these things by way of ordinance, get a new Solicitor and go that way.

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But on the point of the Board of Canvassers, clearly they have to make determinations as to what does and does not go on the Financial Town Referendum.

Last year Mr. Katz was the complainant on a
budget proposal that ended up here in Superior
Court. The Board of Canvassers in that case
disallowed the budget proposal. It went to court.
The Town was successful. We're back here again.
This is turning into an annual event.

But it's the same thing. If the Board of Canvassers has no role as to what goes on the ballot for the Financial Town Referendum, it seems to me they shouldn't even be part of the process. The Town Clerk can just get these in and send them right in. But they're not. They're there.

19The Champlin case, which is still good law,20states that what they do is judicial in nature.21They did rely on my advice. They did make that22determination.

23 So that brings me down to the final point. And 24 again, I can go over the proposals too. They're 25 non-budget. But it seems to me that from the arguments that you've heard, there is no irreparable harm here. There is no standing. There's no particularized interest that they've articulated here.

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5 They do, by the way, have another recourse, which I discussed. Their likelihood of success on 6 7 the merits to me is slight or not at all. And the reason for it is this, these budget -- these 8 9 resolutions that are non-budgetary in nature should The Board of Canvassers does 10 have been kept off. 11 have that ability to determine what goes on and 12 what does not go on the Financial Town Referendum 13 as we saw in the Larkin case. So if there's 14 success on the merits, I don't see it.

And when you balance the equities here -- the Board of Canvassers made their determination on Monday last week. Here we are, and the ballots have already been sent to the printer. The Financial Town Referendum is tomorrow. The vote is on May 19th.

So when I balance out the equities here -- and by the way, my advice was to preserve the integrity of the process. What was happening here was to turn the Financial Town Referendum into something that expands beyond and to abrogate those processes

1 that are delegated in the Town Charter to other 2 boards and commissions of the Town. 3 So I think that when you balance out the equities, it falls in favor of the Town. 4 And 5 because this is a mandatory -- request for mandatory injunctive relief, the plaintiffs have to 6 7 show a clear right. Just based on the arguments, 8 there is no clear right here. 9 I can just take a look at the Town Charter 10 myself. Tomorrow night is a discussion on budget 11 proposals. The ones that were excluded were not 12 budget proposals. The purpose of the Financial 13 Town Referendum is to enact a budget. The Board of 14 Canvassers has jurisdiction over the Financial Town 15 Referendum. I just -- I don't see it. And I 16 understand that there's an agenda here that the 17 plaintiffs want to advance. I just think this is 18 the wrong forum, and I'd ask that the TRO be 19 denied. 20 THE COURT: Thank you. The Court has reviewed 21 the papers, the complaints that have been filed, 22 the attachments, the memorandum or memoranda filed

by the Town and reply memoranda by the plaintiffs.The Court is aware of the May 3 public hearing.

And frankly, that's one of the reasons why the

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matter was scheduled for today, the day before that
 public hearing.

3 And even though -- when the papers were originally filed, my recollection is that there was 4 5 no request for a temporary restraining order. Mr. Coulter's was the first -- those papers were 6 7 filed on Friday, the 27th. Mr. Coulter's papers were filed on Monday, and they did include a 8 request for a hearing on a temporary restraining 9 10 order, which is the proper procedure to follow. 11 Now, the matters have been consolidated, so all 12 of the parties have joined in and filed motions for 13 temporary restraining orders, which is what's 14 before the Court today. 15 Now, taking each complaint in the order in 16 which it was docketed by the clerk, first 17 2018-0153, Katz versus the Town of Tiverton, the 18 complaint seeks in Count I a declaratory judgment 19 that the Charter does not permit the Board of 20 Canvassers to prohibit resolutions which were duly 21 and timely submitted by qualified electors with the 22 requisite verified signatures from being included 23 on the Financial Town Referendum ballot based on 24 policy preferences, predictions or concerns or 25 opinions as to possible effects or enforceability

if approved or other grounds on perceived substantive merits.

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3 Count II seeks injunctive relief alleging that absent injunctive relief mandating inclusion of the 4 5 blocked resolutions on the Financial Town Referendum ballot, the plaintiff will suffer 6 7 immediate and irreparable harm and they will be deprived of voting rights under the Charter as well 8 9 as deprived of the opportunity to debate the 10 blocked resolutions at the associated public 11 hearing on May 3, 2018 and deprived as well of 12 seeing resolutions which Mr. Katz supported have 13 the opportunity to be approved by his fellow 14 electors at the Financial Town Referendum.

15 In Case 2018-0157, Driggs, et al, versus the 16 Town of Tiverton, the complaint seeks in Count I 17 a declaratory judgment, which is the same as the 18 Count I in the Katz case. Count II seeks 19 injunctive relief. Again, it's the same as 20 included in the Katz case. And Count III seeks 21 mandamus, specifically a writ of mandamus issued by 22 this Court commanding all of the defendants to take 23 all actions necessary within their official powers 24 to cause the plaintiff's elector resolution to be included with all of the other resolutions on the 25

upcoming Financial Town Referendum ballot and in 1 2 the preceding official public hearing. 3 Case 2018-0158, Coulter, C-O-U-L-T-E-R, versus 4 the Town of Tiverton, again, this complaint seeks 5 Count I, a declaratory judgment, which is the same as the Count I in the Katz case; Count II, 6 7 injunctive relief, which is the same as Count II in 8 the Katz case; and Count III, mandamus, which is 9 the same as the Count III in the Driggs, et al 10 As I said earlier, each of them now has case. 11 requested a temporary restraining order. 12 Now, the standard of review is that the 13 granting of the temporary restraining order is an 14 extraordinary remedy as stated by our Supreme Court 15 in Brown v. Amaral, 460 A.2d 7 at Page 10. 16 The Court must determine whether plaintiff, in this case plaintiffs, one, have a reasonable 17 18 likelihood of success on the merits; two, will 19 suffer irreparable harm without the requested 20 relief; three, have the balance of equities weigh 21 in their favor; and four, have shown that the 22 requested injunction will maintain the status quo. 23 As stated in Pucino v. Uttley, 785 A.2d 183 at 24 Page 186 which cites Iggy's Doughboys, Inc. v. 25 Giroux, 729 A.2d 701 at Page 705, the moving party

is not required to establish a certainty of success when proving the likelihood of success on the merits but instead is required to make out a prima facie case as stated in *DiDonato v. Kennedy*, 822 A.2d 179 at Page 181, a 2003 Supreme Court decision citing *Fund For Community Progress v. United Way of Southeastern New England*, 695 A.2d 517 at Page 521.

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9 Further, the function of a temporary 10 restraining order is not ordinarily to achieve a 11 final and formal determination of the rights of the 12 parties or of the merits of the controversy, but is 13 merely to hold matters in status quo and in the 14 meantime to prevent the doing of any acts whereby 15 the rights in question may be irreparably injured 16 or endangered as cited in the Fund for Community 17 Progress case previously cited, 695 A.2d at 521, 18 quoting Coolbeth v. Berberian, 112 R.I. 564, a 1974 19 Supreme Court case. "An application for such 20 temporary injunctive relief is, of course, 21 addressed to a trial justice's sound discretion, 22 which should not be exercised unless the applicant 23 has at least made out a prima facie case," again 24 stated at Coolbeth v. Berberian previously cited at 25 Pages 564 to 566.

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Also, as stated in that case, the *Coolbeth v.* Berberian case, a temporary restraining order should be issued only to hold matters approximately in status quo and prevent the doing of any acts whereby the rights in question may be irreparably injured or endangered.

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Of course, the status quo at the present time is that those five resolutions are not on the ballot to go before the Financial Town Referendum.

10 Now, the plaintiffs in all of these cases, all 11 three cases, have filed their respective lawsuit to 12 enforce Section 301 of the Tiverton Home Rule 13 Charter, hereinafter the Charter, which grants 14 qualified electors the right to place resolutions 15 by petition on the ballot for the Tiverton 16 Financial Town Referendum which is taking place 17 this year on May 19th, 2018.

The Driggs, et al and Coulter plaintiffs have filed three counts against the Town as previously stated, Count I, declaratory relief; Count II, injunctive relief; and Count III, mandamus. The Katz plaintiff has only filed the first two counts.

The plaintiffs originated petitions for eight resolutions. However, only three were approved by the Board of Canvassers at its meeting held on April 23, 2018.

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2 The plaintiffs have argued that all eight 3 resolutions are procedurally compliant with Section 4 301 of the Charter. The Board of Canvassers did 5 not approve the remaining five resolutions submitted by the plaintiffs upon advice of the Town 6 7 Solicitor. The plaintiffs claim that they received no indication or notice that these five resolutions 8 9 were at risk of not being approved until the 10 meeting on April 23. The plaintiffs have provided 11 the, guote, New Business Portion of the April 23 12 agenda of the Board of Canvassers which stated: 13 "2, New Business, discussion of the Financial Town 14 Referendum May 19, 2018; certification of ballot 15 budget proposals and resolutions; discussion on 16 ballot order for the resolutions with possible 17 lottery; certification of elector petition 18 signatures; certification of mail ballot 19 applications.

The plaintiffs claim that the agenda stated, quote, certification, unquote, with the clear implication that the resolutions would be certified. As such, the plaintiffs claim that they did not have a fair opportunity to prepare for and be fully heard at such meeting because the agenda

1 did not provide notice that there was a possibility 2 that the resolutions might not be certified. 3 The next hearing is scheduled to take place on 4 May 3, 2018. 5 This Financial Town Hearing is a meeting where the Financial Town Referendum ballots will be 6 7 presented or ballot will be presented and shall be provided for electors to review and debate 8 9 equitably all budget proposals and resolutions. 10 The plaintiffs claim that absent injunctive 11 relief mandating inclusion of the blocked 12 resolutions on the Financial Town Referendum 13 ballot, plaintiffs will suffer immediate and 14 irreparable harm in that they will be deprived of 15 voting rights under the Charter and deprived of the 16 opportunity to debate the blocked resolutions at 17 the May 3 Financial Town Hearing. 18 Now, Section 301 of the Charter provides --19 subpart (f) provides that the purpose of the 20 Financial Town Referendum is to, quote, order any 21 tax which lawfully may be ordered, make 22 appropriations, and transact any other business 23 pertaining to the affairs of the Town which may 24 legally come before such a referendum via Budget 25 Proposals and Resolutions. It should be noted --

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as noted, the Solicitor advised that the so-called 1 2 five blocked resolutions were illegal. 3 The plaintiffs argue that the Board of 4 Commissioners may not block their proposed 5 resolutions for substantive reasons because there is nothing in the Charter that provides for a form 6 7 of review or any approval process for certifying the resolutions and point to several sections of 8 9 the Charter in support of their argument. 10 Specifically Section 301(d)1) of the Charter 11 provides, "Electors of the Town may petition that 12 an alternate budget proposal be included in the 13 Financial Town Referendum." 14 In addition, Section 301(d)2) provides that 15 "electors may petition that a resolution pursuant to Section 301(b)2) be included on the ballot for 16 17 the Financial Town Referendum." 18 Further, Section 301(d)3) provides, "All 19 Elector Budget Proposals and Resolutions shall be 20 included on the ballot for the Financial Town 21 Referendum and presented at the Financial Town 22 Hearing provided that they are accompanied by 50 23 qualified elector signatures." 24 Section 301(b)2) acts as a gatekeeper to the 25 inclusion of resolutions and provides that, quote,

a resolution or ballot question may be included on said ballot, provided that if adopted it shall not alter the ballot entries of Section 301(b)1)(a-e).

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Finally, Section 301(c)3) provides that, "Qualified resolutions submitted by elector petition in accordance with Section 301(d) shall appear on the ballot."

The plaintiffs have alleged that their blocked resolutions were qualified and that their blocked resolutions do not alter the ballot entries of Section 301(b)1)(a-e).

12 Therefore, they argue that they can satisfy the 13 first prong of the test articulated above; that is, 14 that they have a reasonable probability of success 15 on the merits because their blocked resolutions are 16 procedurally compliant and the Charter does not 17 provide the Board of Canvassers authority to deny 18 the proposed resolutions on substantive grounds.

19This argument lacks merit. The crux of the20purpose of the Financial Town Referendum is that21approved ballots be legal, conform to both city and22state law, and concern the budget.

The plaintiffs' resolutions were blocked on the basis of their illegality as determined by the Town Solicitor and then adopted by the Board of

1 Canvassers based upon Tiverton Regulation Section 2 803, which states that the duties of a Town 3 Solicitor include providing legal advice to all boards, commissions, and agencies of the town. 4 5 Two of the resolutions, according to the Solicitor, violate the Rhode Island Constitution 6 7 because they purport to require certain Tiverton casino revenue to be used for a tax rebate if it 8 9 exceeds a certain anticipated amount. 10 Our constitution states that cities and towns 11 remain powerless to levy, assess, and collect taxes 12 or to borrow money except as authorized by the 13 General Assembly. This is contained in Article 13 14 of the Rhode Island Constitution, Section 5. 15 As the General Assembly has not provided 16 authorization for this type of tax rebate, the 17 resolution fails to conform to Rhode Island law and 18 is thus illegal. 19 In Warwick Mall Trust v. The State, 684 A.2d 20 252 at Page 254, the Rhode Island Supreme Court 21 stated that, "If a city or town cannot levy, 22 assess, and collect taxes without General Assembly 23 authorization, then it certainly cannot abate, 24 exempt, or allocate payments it would otherwise be 25 entitled to receive as taxes or to negotiate for

their receipt as payments in lieu of taxes without such authorization."

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3 The next two so-called blocked resolutions 4 pertain to proposals that do not concern the 5 budget. The plaintiffs argue that the proposed resolutions submitted by qualified electors do not 6 7 need to concern the Tiverton budget. However, the Town Charter must not be read in isolation. 8 The 9 Court must consider the entire Charter as a whole. 10 Individual sections must be considered in the 11 context of the entire scheme, not as if each 12 section was independent of all other sections as 13 our Supreme Court stated in State v. Hazard, 14 68 A.3d 479 Page 485, a 2013 Supreme Court 15 decision.

Here, the Financial Town Referendum is intended 16 17 to allow electors to vote on the finances or the 18 budget of the town. The Financial Town Referendum 19 would violate Section 407 of the Charter which 20 provides that, "All powers of the Town shall be 21 vested in the Town Council except as otherwise 22 provided by the Charter or by the Constitution and 23 laws of the State" if the Board of Canvassers were 24 to allow resolutions concerning every town topic to 25 be voted on during the Financial Town Referendum.

In addition, the next blocked resolution violates Section 407, Subpart 14, of the Charter, which provides that, the Town Council shall, "Order such budget reductions or transfers of funds within the Municipal Budget as may become necessary to meet unanticipated requirements or shortfalls during the fiscal year, as recommended by the Administrator."

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9 Thus, the Board of Canvassers does not have the 10 authority to allow a resolution that would require 11 any line item reduced to one dollar or less during 12 the course of the fiscal year to be subject to a 13 transfer as this authority resides within the sound 14 discretion of the Town Council.

15 Finally, the plaintiffs argue that the Board of 16 Canvassers lacks authority to block the plaintiffs' 17 resolutions. However, Section 301(g)5) states 18 that, "The Board of Canvassers shall have 19 jurisdiction over the Financial Town Referendum." 20 And as such, our Rhode Island Supreme Court has 21 stated that, "Courts will not second-guess a 22 municipal agency in matters involving discretion in 23 the absence of proof of such factors such as fraud, collusion, bad faith, or abuse of power" as stated 24 25 by Sullivan v. Faria, 112 R.I. 132, Page 138, which

is a Rhode Island -- 1973 Rhode Island Supreme
 Court decision.

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As far as the objection or the claim by the Town that the plaintiffs lack standing because they only have a generalized interest for the purposes of ruling on this motion for a temporary restraining order, I'm assuming that they do have standing for purposes of this hearing.

9 Also, as far as the argument that the Board of 10 Canvassers lacks authority to reject the 11 plaintiffs' resolutions, as I previously stated, 12 Section 301(q)5) of the Charter states that, "The 13 Board of Canvassers shall have jurisdiction over 14 the Financial Town Referendum." And this Court 15 will not second-quess the Town of Tiverton, 16 specifically the Board of Canvassers, as there has 17 been no showing or allegation of fraud, collusion, 18 bad faith, or abuse of power.

As I said, in addition, the plaintiffs, specifically Mr. Katz, argues that the Board of Canvassers had no authority to determine the legality of the so-called blocked resolutions; however, the Board was acting on the advice of the Solicitor pursuant to the Tiverton Regulation previously cited, 803. And as the Court has ruled, there were certainly grounds for that advice by the
 Solicitor.

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Also, Mr. Coulter in his reply memorandum addressed the issue of whether resolutions needed to concern budget matters. He argues that because the, quote, word proposal is almost always preceded by the word budget -- strike that -- by budget and, quote, resolution is never preceded with the word "budget," these resolutions do not need to be budget resolutions.

However, as I previously stated, the Charter must not be read in isolation. It must be considered as a whole. And as I said earlier, the Financial Town Referendum is an avenue for the residents of the Town of Tiverton to vote on the yearly budget.

17 The residents of the Town have other avenues to 18 seek redress for their concerns that do not involve 19 budgetary matters such as voting in elections and 20 voting out, how shall we say, those officials whom 21 they disagree with and voting in those whom they 22 agree with.

Also, Mr. Coulter argues that the Board of
Canvassers' actions are subject to review by this
Court. As I previously stated, the action of a

Board of Canvassers is judicial in its nature and its action cannot be reviewed by this Court either by mandamus proceedings or by a writ of quo warranto as stated by the Supreme Court in *Williams v. Champlin*, 26 R.I. 416, a relatively recent Supreme Court case decided in 1904.

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7 It seems that Mr. Coulter takes issue with the 8 age of this case. Legally speaking, 1904 is 9 practically considered to be yesterday. In 10 addition, it is still binding precedent and has 11 never been reversed or abdicated by our Supreme 12 Court in the years since.

13 And even if I were to consider the request for 14 issuance of a writ of mandamus, plaintiffs have not 15 demonstrated that they have a clear and legal right 16 to the relief sought, which is the standard 17 articulated by our Supreme Court in Muschiano v. 18 Travers, 973 A.2d 515, wherein the Supreme Court 19 stated, "This Court," meaning the Supreme Court, 20 "clearly and repeatedly has established the 21 requirements for issuing such a writ: it will only 22 be issued when the petitioner has a clear legal 23 right to the relief sought; the respondent has a 24 ministerial duty to perform the requested act 25 without discretion to refuse; and three, the

1	petitioner has no adequate remedy at all."
2	For all of the above-cited reasons, the motion
3	for the temporary restraining order is denied. The
4	Court will be in recess.
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